

Note: Reported data do not include revisions made through the Prior Year Data Revision System for any institution prior to collection

Finance 2010-11

Institution: Santa Clara University (122931)

User ID: super1

Finance - Private not-for-profit institutions and Public institutions using FASB standards

FASB-Reporting Institutions

General Information - Fiscal Year and Audit

To the extent possible, the finance data requested in this report should be provided from your institution's audited General Purpose Financial Statements (GPFS). Please refer to the instructions specific to each screen of the survey for details and references.

1. Fiscal Year Calendar

This report covers financial activities for the 12-month fiscal year: (The fiscal year reported should be the most recent fiscal year ending before October 1, 2011.)

Beginning: month/year (MMYYYY) Month: Year:

And ending: month/year (MMYYYY) Month: Year:

2. Audit Opinion

Did your institution receive an unqualified opinion on its General Purpose Financial Statements from your auditor for the fiscal year noted above? (If your institution is audited only in combination with another entity, answer this question based on the audit of that entity.)

Unqualified Qualified (Explain in box below) Don't know (Explain in box below)

3. Does this institution or any of its foundations or other affiliated organizations own endowment assets ?

Yes (report endowment assets)

No

4. Intercollegiate Athletics

If your institution participates in intercollegiate athletics, are the expenses accounted for as auxiliary enterprises or treated as student services?

Auxiliary enterprises

Student services

Does not participate in intercollegiate athletics

Other (specify in box below)

5. Does your institution account for Pell grants as pass through transactions (a simple payment on the student's account) or as federal grant revenues to the institution?

Pass through (agency) Federal grants Does not award Pell grants

You may use the space below to provide context for the data you've reported above.

Institution: Santa Clara University (122931)

User ID: super1

Part A - Statement of Financial Position, Page 1

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Assets, Liabilities, and Net Assets	Current year amont	Prior year amont
Assets			
01	Long-term investments	853,953,000	733,746,000
19	Property, plant, and equipment, net of accumulated depreciation	565,407,000	539,518,000
20	Intangible assets, net of accumulated amortization	0	0
02	Total assets	1,554,787,000	1,379,894,000
Liabilities			
03	Total liabilities	333,740,000	281,685,000
03a	Debt related to Property, Plant, and Equipment	223,607,000	175,440,000
Net assets			
04	Unrestricted net assets	613,172,000	561,837,000
05	Total restricted net assets	607,875,000	536,372,000
05a	Permanently restricted net assets	266,298,000	260,174,000
05b	Temporarily restricted net assets	341,577,000	276,198,000

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	USD temporarily restricted net assets	341,577,000	276,198,000
06	Total net assets	1,221,047,000	1,098,209,000

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Institution: Santa Clara University (122931) User ID: super1

Part A - Statement of Financial Position, Page 2

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Plant, Property and Equipment	Ending balance	Prior year Ending balance
11	Land and land improvements	41,755,000	34,074,000
12	Buildings	497,748,000	458,141,000
13	Equipment, including art and library collections	167,409,000	158,869,000
15	Construction in Progress	9,918,000	19,790,000
16	Other	69,743,000	69,517,000
17	Total Plant, Property, and Equipment CV=[(A11+...A16)]	786,573,000	740,391,000
18	Accumulated depreciation	221,166,000	200,873,000
19	Property, Plant, and Equipment, net of accumulated depreciation (from A19)	565,407,000	539,518,000

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Institution: Santa Clara University (122931) User ID: super1

Part B - Summary of Changes in Net Assets

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Revenues, Expenses, Gains and Losses	Current year amount	Prior year amount
01	Total revenues and investment return	389,808,000	347,805,000
02	Total expenses	266,970,000	253,701,000
03	Other specific changes in net assets CV=[B04-(B01-B02)]	0	28,657,000
04	Change in net assets	122,838,000	122,761,000
05	Net assets, beginning of year	1,098,209,000	975,448,000
06	Adjustments to beginning of year net assets CV=[B07-(B04+B05)]	0	0
07	Net assets, end of year (from A06)	1,221,047,000	1,098,209,000

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Institution: Santa Clara University (122931) User ID: super1

Part C - Scholarships and Fellowships

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Scholarships and Fellowships	Current year amount	Prior year amount
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01	<u>Pell grants (federal)</u>	3,279,000	2,806,000
02	<u>Other federal grants</u>	2,014,000	1,173,000
03	<u>State grants</u>	4,760,000	4,916,000
04	<u>Local grants (government)</u>	0	0
05	<u>Institutional grants (funded)</u>	11,696,000	9,941,000
06	<u>Institutional grants (unfunded)</u>	51,267,000	47,306,000
07	Total scholarships and fellowships CV=[C01+...+C06]	73,016,000	66,142,000
08	<u>Allowances (scholarships) applied to tuition and fees</u>	62,963,000	57,248,000
09	<u>Allowances (scholarships) applied to auxiliary enterprise revenues</u>	0	0

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Part D - Revenues and Investment Return

Fiscal Year: July 1, 2010 - June 30, 2011

Line No.	Source of Funds	Total Amount	Unrestricted	Temporarily restricted	Permanently restricted	Prior Year Total Amount
01	Tuition and fees (net of allowance reported in Part C, line 08)	207,757,000	207,757,000	0	0	206,438,000
Government Appropriations						
02	Federal appropriations	428,000	428,000	0	0	1,313,000
03	State appropriations	0	0	0	0	0
04	Local appropriations	0	0	0	0	0
Government Grants and Contracts						
05	Federal grants and contracts	4,000,000	4,000,000	0	0	4,996,000
06	State grants and contracts	179,000	179,000	0	0	245,000
07	Local government grants and contracts	58,000	58,000	0	0	112,000
Private Gifts, Grants and Contracts						
08	<u>Private gifts, grants and contracts</u>	20,644,000	3,283,000	11,508,000	5,853,000	25,534,000
08a	<u>Private gifts</u>	20,590,000	3,229,000	11,508,000	5,853,000	25,358,000
08b	<u>Private grants and contracts</u>	54,000	54,000	0	0	176,000
09	<u>Contributions from affiliated entities</u>	0	0	0	0	0
Other Revenue						
10	<u>Investment return</u>	117,938,000	23,385,000	94,299,000	254,000	75,441,000
11	<u>Sales and services of educational activities</u>	0	0			0
12	Sales and services of <u>auxiliary enterprises</u> (net of allowance reported in Part C, line 09)	25,463,000	25,463,000			24,403,000
13	<u>Hospital revenue</u> If this institution is primarily a hospital, please click here	0	0			0
14	<u>Independent operations revenue</u>	0	0	0	0	0
15	Other revenue CV=[D16-(D01+...+D14)]	13,341,000	13,487,000	-163,000	17,000	9,323,000
16	Total revenues and investment return (from B01)	389,808,000	278,040,000	105,644,000	6,124,000	347,805,000
17	Net assets released from restriction	0	-40,265,000	40,265,000	0	
18	Net total revenues, after assets released from restriction	389,808,000	237,775,000	145,909,000	6,124,000	347,805,000

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19	12-month Student FTE from E12 CV=[D19a+D19b]	8,553
19a	Undergraduates	5,677
19b	Graduates	2,876
20	Total revenues and investment return per student FTE CV=[D16/D19]	45,576

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Institution: Santa Clara University (122931) User ID: super1

Part E - Expenses by Functional and Natural Classification

Fiscal Year: July 1, 2010 - June 30, 2011

	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	
Line No.	Expenses by Functional Classification	Total amount	Salaries and wages	Benefits	Operation and maintenance of plant	Depreciation	Interest	All other	PY Total Amount
01	Instruction	109,901,000	66,163,000	17,956,000	5,014,000	3,899,000	1,851,000	15,018,000	106,299,000
02	Research	3,475,000	1,446,000	167,000	0	21,000	0	1,841,000	5,254,000
03	Public service	4,778,000	2,837,000	744,000	0	4,000	0	1,193,000	1,559,000
04	Academic support	34,232,000	13,661,000	3,412,000	3,146,000	3,305,000	1,458,000	9,250,000	32,683,000
05	Student services	37,704,000	14,762,000	4,281,000	3,430,000	4,065,000	1,284,000	9,882,000	35,911,000
06	Institutional support	53,065,000	20,716,000	4,531,000	1,695,000	6,216,000	1,553,000	18,354,000	50,667,000
07	Auxiliary enterprises	23,305,000	1,293,000	357,000	6,150,000	4,383,000	3,499,000	7,623,000	20,638,000
08	Net grant aid to students (net of tuition and fee allowances)	510,000						510,000	690,000
09	Hospital services (If this institution is primarily a hospital, please click here)	0	0	0	0	0	0	0	0
10	Independent operations	0	0	0	0	0	0	0	0
11	Operation and maintenance of plant (see instructions)	0	6,992,000	2,482,000	-19,435,000	1,963,000	229,000	7,769,000	0
12	Other expenses CV=[E13-(E01+...+E11)]	0	0	0	0	0	0	0	0
13	Total expenses (from B02)	266,970,000	127,870,000	33,930,000	0	23,856,000	9,874,000	71,440,000	253,701,000
	Prior year total expenses	253,701,000	123,817,000	32,963,000		23,262,000	8,910,000	64,749,000	
14	12-month Student FTE from E12 CV=[E14a+E14b]	8,553							
	14a Undergraduates	5,677							
	14b Graduates	2,876							
15	Total expenses per student FTE CV=[E13/E14]	31,214							

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Institution: Santa Clara University (122931) User ID: super1

Part H - Value of Endowment Assets

Fiscal Year: July 1, 2010 - June 30, 2011

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Line	Value of Endowment Assets	Market Value	Prior Year
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No.			Amounts
	Include not only endowment assets held by the institution, but any assets held by private foundations affiliated with the institution.		
01	Value of <u>endowment assets</u> at the beginning of the fiscal year	603,618,000	528,892,000
02	Value of <u>endowment assets</u> at the end of the fiscal year	716,821,000	603,618,000

You may use the space below to provide context for the data you've reported above.

Institution: Santa Clara University (122931)

User ID: super1

Summary

Finance Survey Summary

IPEDS collects important information regarding your institution. All data reported in IPEDS survey components become available in the IPEDS Data Center and appear as aggregated data in various Department of Education reports. Additionally, some of the reported data appears specifically for your institution through the College Navigator website and is included in your institution's Data Feedback Report (DFR). The purpose of this summary is to provide you an opportunity to view some of the data that, when accepted through the IPEDS quality control process, will appear on the College Navigator website and/or your DFR. College Navigator is updated approximately three months after the data collection period closes and Data Feedback Reports will be available through the ExPT and sent to your institution's CEO in November 2012.

Please review your data for accuracy. If you have questions about the data displayed below after reviewing the data reported on the survey screens, please contact the IPEDS Help Desk at: 1-877-225-2568 or ipedshelp@rti.org.

Core Revenues

Revenue Source	Reported values	Percent of total core revenues	Core revenues per FTE enrollment
Tuition and fees	\$207,757,000	57%	\$24,291
Government appropriations	\$428,000	0%	\$50
Government grants and contracts	\$4,237,000	1%	\$495
Private gifts, grants, and contracts	\$20,644,000	6%	\$2,414
Investment return	\$117,938,000	32%	\$13,789
Other core revenues	\$13,341,000	4%	\$1,560
Total core revenues	\$364,345,000	100%	\$42,599
Total revenues	\$389,808,000		\$45,576

Core revenues include tuition and fees; government appropriations (federal, state, and local); government grants and contracts; private gifts, grants, and contracts; investment return; sales and services of educational activities; and other sources. Core revenues exclude revenues from auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations.

Core Expenses

Expense function	Reported values	Percent of total core expenses	Core expenses per FTE enrollment
Instruction	\$109,901,000	45%	\$12,849
Research	\$3,475,000	1%	\$406
Public service	\$4,778,000	2%	\$559
Academic support	\$34,232,000	14%	\$4,002
Institutional support	\$53,065,000	22%	\$6,204
Student services	\$37,704,000	15%	\$4,408
Other core expenses	\$510,000	0%	\$60
Total core expenses	\$243,665,000	100%	\$28,489
Total expenses	\$266,970,000		\$31,214

Core expenses include expenses for instruction, research, public service, academic support, student services, institutional support, net grant aid to students, and other expenses. Core expenses exclude expenses for auxiliary enterprises (e.g., bookstores, dormitories), hospitals, and independent operations.

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	Calculated value
FTE enrollment	8,553

The full-time equivalent (FTE) enrollment used in this report is the sum of the institution's FTE undergraduate enrollment and FTE graduate enrollment (as calculated from or reported on the 12-month Enrollment component). FTE is estimated using 12-month instructional activity (credit and/or contact hours). All doctor's degree students are reported as graduate students.